



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/894,956	06/27/2001	William Michael Lafferty	DIVER1280-14

28213  
GARY CARY WARE & FRIENDENRICH LLP  
4365 EXECUTIVE DRIVE  
SUITE 1600  
SAN DIEGO, CA 92121-2189



CONFIRMATION NO. 7268

## FORMALITIES LETTER



\*OC00000006325213\*

Date Mailed: 07/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/27/2001 09:00:00 00000073 09274955

FILED UNDER 37 CFR 1.53(b)

CA 72,124	355.00 OP
CA 72,125	207.00 OP
CA 72,126	240.00 OP
CA 72,127	65.00 OP

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$447.
  - \$207 for 23 total claims over 20.
  - \$240 for 6 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 867.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Sector / # W

PATENT  
Attorney Docket No.: DIVER1280-14

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: William Michael Lafferty      Art Unit: 1645  
Application No.: 09/894,956      Examiner: Unassigned  
Filed: June 27, 2001  
Title: CAPILLARY ARRAY-BASED SAMPLE SCREENING

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Sir:

In response to the Notice to File Missing Parts of Application mailed July 20, 2001,  
enclosed are:

1. A copy of the Notice to File Missing Parts of Application (1 page);
2. An executed Declaration For Patent Application (2 pages);
3. An executed Power of Attorney by Assignee (2 pages);
4. Check in the amount of \$867.00;
5. Return Receipt Postcard.

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are  
being deposited with the United States Postal Service as first class  
mail on September 20, 2001, in an envelope addressed to: Box  
Missing Parts, Commissioner for Patents, Washington, D.C. 20231

*Karen LePari*  
Karen LePari

In re Application of:  
William Michael Lafferty  
Application No.: 09/894,956  
Filed: June 27, 2001  
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PATENT  
Atty Docket No.: DIVER1280-14

Applicants claim small entity status in the above-identified application. Pursuant to 37 C.F.R. § 1.27, a verified statement claiming small entity status is not required.

The filing fee has been calculated as follows.

	Number Filed		Number Extra		Rate			Fee	
					Large Entity	Small Entity		Small Entity	Large Entity
Total Claims	43-20	=	23	X	\$18	\$09	=	\$207.00	\$ 0.00
Independent Claims	9-3	=	6	X	\$80	\$40	=	\$240.00	\$ 0.00
Multiple Dependent Claims Presented: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No					\$270	\$135		\$00.00	
					BASIC FEE			\$355.00	\$720.00
					TOTAL FEE			\$802.00	\$ 0.00

XX Enclosed is a check in the total amount of \$867.00 which includes \$802.00 as filing fee and \$65.00 as payment for the surcharge fee for missing parts of the application. The Commissioner is hereby authorized to charge any other fees that may be associated with this communication, or credit any overpayment to Deposit Account No. 50-1355. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



Date: September 20, 2001

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